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# OFFICE OF RACING INTEGRITY

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Harness Racing  
Policies and  
Procedures

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24 August 2021

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## 1. RULE 15(aa) Powers of Stewards (POLICY)

- 1.1. The Stewards may stand a horse down for a period and/or require it to trial if they deem a performance to be unsatisfactory or on the advice or recommendation of the Veterinary Surgeon.
- 1.2. A horse which is placed on its last chance to race competitively will not be permitted to race or trial within three (3) clear days. If the horse has unfulfilled engagements within that period it will be scratched penalty free. When assessing whether a horse is placed on its last chance to race competitively Stewards take into consideration the following factors which are not exclusive:
  - The horse's recent race record
  - The overall margins in the race
  - The race time
  - The horse's individual race time
  - The 'trip' the horse received in the race
  - Track conditions
  - The class of the race
  - Any interference or inconvenience suffered during the race
  - Result of post-race veterinary examination

## 2. RULE 25 Stable Returns (POLICY)

- 2.1. A stable return must be lodged with ORI either in paper form or via HarnessWeb immediately (within 24 hours) when a horse comes under the care of a trainer.
- 2.2. A gear form is part of the stable return. All gear forms, whether original or changes, must be fully completed to be accepted.
- 2.3. Any alteration to Stable Return details after accepting for a race must be lodged no later than close of business on the last working day prior to the race meeting.
- 2.4. Trainers wishing to race or trial a horse must have a Stable Return processed by close of business on the last working day prior to the close of acceptances for the race or trial.

## 3. Withdrawal from Race (POLICY)

- 3.1. A 10-day ban will be applied to a horse which is withdrawn due to illness or injury after acceptances.
- 3.2. A 28-day ban will be applied to a horse which is withdrawn for any other reason after acceptances without permission of Stewards.
- 3.3. A 28-day ban will be applied to a horse which is withdrawn after scratching time on Race Day unless a veterinary certificate is lodged, or other documentation which satisfies the Stewards that the reason for the scratching is valid.

## 4. RULE 42(2) Appointed Times (POLICY)

- 4.1. Where a horse is not on course by the appointed time:
  - The Acceptance Clerk shall promptly notify the Steward-in-Charge.
  - The Stewards may check the float park area prior to withdrawing a horse from its engagement.

## 5. RULE 44 Tactics (POLICY) – AHRR Repealed May 2018

## 6. RULE 47 Medical Matters (PROCEDURE)

- 6.1. A driver must report to the Ambulance Officers/Doctor if he/she is involved in a race accident.
- 6.2. It will be the responsibility of the driver to notify Stewards that he/she has been examined by an Ambulance Officer/Doctor before driving in any subsequent races at the meeting.
- 6.3. The Ambulance Officer will determine whether the driver requires further medical assessment.
- 6.4. Where determined by an Ambulance Officer that a driver needs further medical assessment, the driver shall not drive again until a medical certificate, clearing the driver to resume driving, is lodged with the Steward-in-Charge of the meeting, or the Chairman of Stewards prior to Race 1 on the day the driver is next engaged to drive.
- 6.5. The Stewards shall make note on the Meeting Summary Report of any driver requiring medical assessment.

## 7. RULE 52(3) All Clear (POLICY)

- 7.1. Horses placed first to fifth will return to the check-in area to be inspected by the All-Clear-Steward.
- 7.2. It shall be the responsibility of a driver to notify the All-Clear Steward if he/she has any objections prior to the All-clear Steward giving all clear.

## 8. RULE 59 Reruns (POLICY)

- 8.1. If the Stewards decide to re-run a race at the same meeting, horses must be passed fit to start by Veterinarians.

## 9. RULE 88 Offences and Related matters (POLICY)

- 9.1. A 14-day ban will be applied to any horse which is scratched due to it being unable to be loaded and transported to the races.
- 9.2. A 28-day ban will be applied to any horse which is scratched due to a float breakdown unless supportive evidence is provided to the satisfaction of Stewards within 2 working days and at such time the stewards will reduce the penalty to 6 days.

## 10. Visiting Trainers (POLICY)

- 10.1. Visiting Trainers coming to Tasmania must apply in writing on the relevant ORI form for a permit to train. At the time of nomination, trainers should notify Stewards where their horse/s are to be stabled.

## 11. RULE 90A (2.8) Trainers Licence

- 11.1. Persons wishing to train in a partnership must apply to the Office of Racing Integrity, providing all information outlined in "Application for Licence Requirements" document.
- 11.2. To train in a partnership, both partners must be licensed as trainers, and one must be a Trainer A.

## 12. RULE 91 Offences

- 12.1. Drivers incurring a suspension must fulfil any declared driving engagements unless otherwise determined by Stewards.
- 12.2. The Stewards shall have the power to defer, for a period of no longer than nine (9) days, the commencement of any suspension imposed by them upon a driver.

## 13. RULE 119C Location of Horse

- 13.1. Trainers must notify ORI in writing whenever a horse they train is moved from the registered stable address for reasons other than attendance at race/trials meetings including for the purpose of spelling.
- 13.2. Notification including the address of the property, name of responsible person, period of time horse will be away from the registered stable address, can be made via email to [operations@racingintegrity.tas.gov.au](mailto:operations@racingintegrity.tas.gov.au)

## 14. RULE 120 Transfer from Disqualified Trainer (POLICY)

- 14.1. Where a trainer has been disqualified, the Office of Racing Integrity shall require that the following conditions be adhered to with respect to the transfer of horses:
- 14.2. Any horse or horses in the care of a disqualified trainer shall be transferred to a trainer who does not train from the property of the disqualified trainer except with the approval of the Office of Racing Integrity.
- 14.3. The trainer who receives a horse from a disqualified trainer shall if required by the Office of Racing Integrity, provide evidence that there is no involvement from the previous trainer.
- 14.4. Any horse transferred from a disqualified trainer shall be ineligible to race if during the term of the penalty it returns to the property from which it was originally trained, unless approval is granted by the Office of Racing Integrity.
- 14.5. If the horse(s) is/are in the ownership of the property owner, an exception to the above may apply, however any person currently disqualified shall not reside on that property.

## 15. RULE 128(2) Starters (POLICY)

- 15.1. Only when a horse is facing forward and facing the barrier is it deemed to be participating in the score-up.

## 16. RULE 129 Procedure with respect to Mobile Barrier Malfunction

- 16.1. Irrespective of whether a start has been declared or not, if the starter becomes aware of any mechanical fault of the mobile barrier which may compromise a fair start, he is to declare a false start by the activation of the red light and/or any other means he deems appropriate.

## 17. RULE 131 Barrier Positions (POLICY)

- 17.1. Barrier lines do not change with scratchings.
- 17.2. Barrier positions move down the same line with scratchings.

**18. RULE 134 Outside Draw (Standing Starts)**

- 18.1. Where two (2) lines of horses are drawn off the front the starter may in his/her absolute discretion decide whether to use a strand on the handicap mark immediately behind that mark.
- 18.2. The starter will as soon as practical effect a start when the last strand is secured regardless of if a horse is not fully up on its mark provided that horse is facing forward.

**19. RULE 134 Outside Draw (Omission and Re-inclusion in the draw)**

- 19.1. Where a horse through its intractability is excluded from the draw or stood down to trial by the Stewards such notice shall be issued as soon as practical via a sheet located within the vicinity of the Stewards room or by any other method subsequent to the race meeting.
- 19.2. Where a trainer is applying for a horse to be re-included in the barrier draw an endorsement form must be signed prior to competing in a trial or race.

**20. RULE 134 (1) Outside Draw (Standing) (POLICY)**

- 20.1. A horse must behave in a tractable manner prior to and at the start and for 100 metres after the start of the race, or it may be excluded from the barrier draw (ODS).
- 20.2. Where an ODS horse's performance continues to be unsatisfactory, or when a horse which has been reinstated in the draw re-offends, such horse may be declared RODS.
- 20.3. Where a RODS horse's performance continues to be unsatisfactory, such horse may be stood down from competing in races.

**21. RULE 134(3) Outside Draw (Standing) (POLICY)**

- 21.1. An ODS horse must start from the outside half of the track irrespective of its barrier position so as not to interfere with runners drawn on other handicap marks.

**22. RULE 134(4) Outside Draw (Mobile) (POLICY)**

- 22.1. A horse must behave in a tractable manner during the score-up and for 100 metres after the start of the race or it may be excluded from the barrier draw (ODM).
- 22.2. Where an ODM horse's performance continues to be unsatisfactory, or when a horse which has been reinstated in the draw re-offends, such horse may be declared RODM or stood down from competing in races.
- 22.3. Where an RODM horse's performance continues to be unsatisfactory, such horse may be stood down from competing in races.

### 23. RULE 134(1) & (4) Re-inclusion in the Draw (POLICY)

- 23.1. A horse excluded from the barrier draw to be re-included must comply with the following:
- (i) partake in the raceday starting procedures;
  - (ii) trial or start in a race within one (1) metre of the barrier;
  - (iii) behave in a tractable manner for 100 metres after the start; and
  - (iv) complete a satisfactory trial.
- 23.2. For a horse to be considered for a satisfactory performance to be re-included in the draw the trainer must do the following:

**WHEN TRIALLING** – the horse must be nominated for a qualifying trial and the qualifying sheet signed prior to the trial.

**WHEN RACING** – the trainer must sign the “Horse Bar Record Sheet” in the Stewards Room prior to the horse racing.

- 23.3. Horses placed ODM or ODS must complete any combination of two (2) consecutive satisfactory races or trials, or any other requirement as determined by Stewards to be re-included in the draw.
- 23.4. A RODS or RODM horse will be required to perform satisfactorily in two (2) consecutive races to revert to ODS or ODM and on two (2) further consecutive occasions, in any combination of trials or races to be placed back in the draw.

### 24. RULE 135 Starter’s Orders (POLICY)

- 24.1. AR 135 says –
- “(1) Unless otherwise determined by the Controlling Body or Stewards, horses competing in a race shall parade in the area designated by the Stewards not less than 12 minutes before the appointed time of the race.*
- (2) Horses competing in a race shall be on the track not less than 7 minutes before the appointed time for the race.”*
- 24.2. Trainers are to adhere to that rule except when there is twenty-five (25) minutes or less between the advertised starting times of consecutive races. The Office of Racing Integrity policy under AR 135 for races that have a gap of twenty-five (25) minutes or less is that all horses engaged in any race must be in the parade yard, fully prepared for their event whilst the preceding race is in progress.
- 24.3. Any driver who has engagements in a following race must proceed as quickly as practical to the parade yard to take their next drive unless directed to attend the Stewards room. Action may be taken against any driver and/or trainer who does not adhere to this policy.

## 25. RULE 141 False Start

- 25.1. When a false start is declared and there is no need for gear adjustments to any horse, the starter may direct all drivers to continue to follow the barrier in their respective barrier positions in order for the score up to recommence.
- 25.2. Where there is a need for gear adjustments, the starter will direct that all horses immediately return to the marshalling area via the shortest route and reposition the barrier to commence the score up.

## 26. RULE 141 False Start (False/Aborted Mobile Starts)

- 26.1. Unless it is evident that a gear breakage has occurred during the score up a driver will not be permitted to use a gear malfunction (eg ear plug or hopple shortening pin releasing prematurely) as an excuse to alert the starter unless safety is compromised. Any driver found to have signalled to the starter without such evidence may be penalised. Any broken or safety compromising gear must be confirmed by an official prior to the recommencement of the score up.

## 27. RULE 142 Track Conditions

- 27.1. If Stewards determine that it is unsafe for the mobile to manoeuvre on any track due to weather conditions, racing will cease immediately.
- 27.2. The practice of “walk up” starts is strictly prohibited.

## 28. RULE 156 Whips (POLICY)

- 28.1. Under race conditions a driver must always have a whip readily accessible, in the event it is required to be used for safety purposes. The whip may be carried on the sulky, in the dust sheet or by the driver.
- 28.2. A driver shall not strike with a whip any gear or equipment connected to their horse, including a wheel disc, with an action that allows the whip to protrude outside the confines of the sulky.

## 29. RULE 159A Offences – Relating to Dress (POLICY)

- 29.1. A driver shall not drive in a race with their colours outside their trousers unless the colours are a tailored jacket.
- 29.2. The following minimum dress standards for licensees at race meetings are as follows:
  - Respectable and neat shirt, jumper, jacket, trousers, dress jeans, blouse, dress, skirt or tailored shorts.
  - Enclosed shoes or boots in an acceptable condition must be worn when attending to horses.
- 29.3. **Unacceptable Attire:**
  - Singlets.
  - Beach attire, board shorts or football shorts.
  - Track pants and tops.
  - Ragged, unclean or torn clothing (include designer ‘tears’)
  - Clothing with offensive slogans.
  - Thongs, sandals, ugg boots or footwear of an unacceptable standard.
- 29.4. Penalties may be applied for non-compliance of the above.



**30. RULE 162 (1)(y) Offences – Relating to matters at the start and during the race (POLICY)**

This rule states “A driver shall not fail to adhere to minimum time standards for sections of a race”

The policy states:

- 30.1. Any driver of a horse leading in a race who fails to adhere to a minimum time standard of 65 seconds (LPC and TTC) and 66 seconds (DHRC Showgrounds) for the first half of the last mile of a race is guilty of an offence.
- 30.2. Stewards may refrain from acting against a driver guilty of an offence if they are of the opinion there are circumstances justifying the reasons for the breach. These circumstances may include but are not limited to:
  - A lead time significantly faster than the average lead time in a race.
  - Inclement weather, where the use of mud guards/splash sheets have been ordered.
  - There has been a malfunction resulting in the indicator board not displaying the 1<sup>st</sup> quarter time.
- 30.3. Races programmed for 2-year-olds are exempted from this policy.
- 30.4. In the case of races run over a distance greater than 2400 metres, a one second allowance will be made to increase the standard sectional time to 66 seconds (LPC and TTC) and 67 seconds (DHRC Showgrounds).
- 30.5. In circumstances where the lead position changes during the first half of the last mile, no driver will be in breach of the rule.
- 30.6. The onus is on the driver of the leading horse to adhere to the sectional time requirements.
- 30.7. Minimum penalties will apply as follows:
  - 1st offence** – fine \$100 – increasing by \$50 per second above the sectional time standard
  - 2<sup>nd</sup> offence** – fine \$200 – increasing by \$100 per second above the sectional time standard
  - 3<sup>rd</sup> or subsequent offence** – suspension of licence. A monetary penalty may also be applied.
- 30.8. Increased penalties may be imposed should the offence occur in a feature race.
- 30.9. A driver’s offence record shall reset two (2) months from the date of the most recent offence.

### 31. RULE 164 Ease Out (POLICY)

This rule states *“The Controlling Body may determine the circumstances in which a driver who does not have a clear passage in the course of a race may take action to secure such a passage.”*

The policy states:

- 31.1. A driver can ease out from the designated ease out pole in the final lap, providing they do not cause interference to another runner when doing so.

### 32. RULE 183 Action Pending Outcome (POLICY)

- 32.1. Where a trainer has been notified that an evidentiary certificate has been issued by an approved racing laboratory stating the presence of a prohibited substance in a horse; that horse shall not be nominated for or compete in a race unless the Stewards approve otherwise.

### 33. RULE 190(6) Presentation Free of Prohibited Substances (POLICY)

- 33.1. A person left in charge must be a licensed trainer, driver or stablehand.

### 34. RULE 191(2) Evidentiary Certificates (POLICY) – UPDATED 16.10.14

- 34.1. Upon detection of a prohibited substance(s) in a swab or sample by an approved racing laboratory, such laboratory shall:
- 34.2. Notify its findings in writing to the Stewards;
- 34.3. Nominate another official racing laboratory and refer to it the reserve portion of the same sample and, except in the case of a blood sample, the control of the same sample, together with advice of the prohibited substance detected.
- 34.4. The referral laboratory shall notify its findings to the Stewards and the Laboratory which referred the sample.
- 34.5. The following analytical laboratories are approved by the Office of Racing Integrity and Tasracing –
- Racing Analytical Services Limited, Melbourne.
  - The Australian Racing Forensic Laboratory, Sydney.
  - The Queensland Government Racing Science Centre, Brisbane.
  - New Zealand Racing Laboratory Services, Auckland, NZ.
  - The Hong Kong Jockey Club Racing Laboratory, Sha Tin, Hong Kong.
  - The Horseracing Forensic Laboratory, Cambridgeshire, United Kingdom
  - The Racing Chemistry Laboratory, Chemistry Centre, Perth, Western Australia.
  - Australian Sports Drug Testing Laboratory, Sydney
  - ChemCentre, Western Australia
  - National Measurement Institute, Sydney
- NB: Approved Laboratories may change from time to time.

**35. RULE 238 Direction of Stewards (POLICY)**

- 35.1. The Stewards may also direct that a driver use mudguards and/or splash sheets on their sulky.

**36. RULE 238 Requirement to notify multiple runner tactics (POLICY)**

- 36.1. A trainer with two or more runners engaged in a race must notify Stewards of the intended tactics of each runner no later than 60 minutes prior to the race.

**37. RULE 238 Alcohol (POLICY)**

- 37.1. No alcohol permitted in the birdcage area at any time.

**38. RULE 253 Illegal Communications (POLICY)**

AHRR 253 states – *“A person on a racecourse shall not use a telephone or other communication device for an illegal or otherwise improper purpose or contrary to a restriction on such use imposed by the Stewards.”*

- 38.1. Licensees are required to hand over their unlocked mobile phones or any other communication devices at the direction of Stewards.

**39. RULE 259 Restrictions (Nomination in a Tasmanian Race by an Interstate Trainer)**

- 39.1. Where a horse which is trained on the mainland and is included in the final acceptors for a race the trainer, if required by the Stewards, must produce evidence that a transportation booking has been arranged prior to the release of fields by the Office of Racing Integrity.

**40. RULE 259(1)(a) Disqualified Persons Restrictions (POLICY)**

- 40.1. A person commencing disqualification in the season pertaining to an industry award shall not be eligible for such award.
- 40.2. Where a disqualified person is a member of an owner partnership which wins an industry award, the persons not affected by the disqualification shall be eligible.
- 40.3. A horse owned, trained, or driven by a disqualified person, is eligible for an industry award.

**41. RULE 272 (11) Colours (POLICY)**

- 41.1. No two horses in a race shall compete in the same colours. Where two or more horses, the property of the same owner or trained by the same trainer, start in the same race the second named horse shall race in the owner’s or trainer’s second set of colours or the club colours. Notification of this change must be made at acceptance time.

#### 42. RULE 273 Offences – Relating to Equipment (PROCEDURE)

42.1. 273(1) - *Application to have gear approved shall be made to Harness Racing Australia (HRA) via the State Controlling Body Chairman of Stewards.*

42.1.1. HRA will consult with each State's Chairman of Stewards before approval is granted.

42.1.2. The list of approved gear shall be kept by HRA.

42.2. 273(2) - *The Steward-In-Charge of a meeting shall record faulty or broken gear.*

42.3. 273(6)(a) *Horses must be presented wearing the correct number.*

#### 43. 1 DAY LICENCE UPGRADE (DRIVER)

43.1. Persons requiring an upgrade of their licence category for 1 day will need to submit a written application to the Chairman of Stewards prior to acceptances. The following matters will be considered –

- Driver's experience.
- History of the horse and its age.
- Driver offence record.
- Status of the race.
- Whether the applicant is the regular driver of the horse.

43.2. In making a written application, drivers should be conscious of these points and provide any additional information that they want to be taken into consideration.

#### 44. PRIORITY OF DRIVES POLICY

44.1. Primarily Rules 152(1), (2), (3), (4) and (5) should always be adhered to and where a query arises beyond the scope of the rules the following shall apply:

44.1.1. Where a horse is only eligible for a race by way of a driver concession and the trainer does not have another starter, the trainer may then accept a drive on another runner in the same race.

44.1.2. If a horse is eligible for a race and a driver concession is taken to obtain a lift, the trainer is not eligible to take another drive in the same race.

44.2. All other queries arising about priority of a driver shall be determined by the Chairman of Stewards.

#### 45. RACE FALL PROCEDURE

- 45.1. In the event of a fall, a driverless horse or any other incident causing an obstruction on the track, Stewards will activate the warning siren/s.
- 45.1.1. A **short burst** of the siren(s) is to alert drivers of a hazard.
- 45.1.2. When the siren(s) is SOUNDED CONTINUOUSLY drivers are to pull up their horses.
- 45.1.3. Instructions will then be given by the Starter and Clerk of the Course.
- 45.2. On tracks where sirens are not in place, Clerks of the Course and Stewards in towers will advise drivers to pull up when required to do so.

#### 46. REQUIREMENTS FOR QUALIFYING A HORSE

- 46.1. If your horse is required to qualify, is out of the draw or stood down for a trial or has any ban on it you must produce all documentation including the horse's racing certificate to the Steward in charge of the trials before the trials and your horse must be inspected.
- 46.2. Any trainer who does not have the papers for his horse will not be allowed to trial. Action will be taken against trainers who present their horses at race meetings that have not been inspected at the trials.
- 46.3. Random inspections of previously qualified performed horses will also be conducted at the trials and the papers must be produced or it may not be allowed to trial.
- 46.3.1. *AR 96(3) says – "The registration certificate shall at all times be in the possession of the trainer or other person in charge of the horse from time to time."*
- 46.3.2. *AR 96(5) says – "The person who is required under this rule to have possession of the registration certificate shall produce same to the Controlling Body or Stewards on demand."*
- 46.4. Trials will be run under race conditions. Trainers and drivers should be aware of Rules 134, 138, 140, 141, 162 and the Office of Racing Integrity's Harness Racing Policies and Procedures document.
- 46.5. Action may be taken by the Stewards against any driver who is in breach of the Rules and or horses whose manners are unsatisfactory during any trial.
- 46.6. Trainers who fail to notify The Office of Racing Integrity of a scratching from a trial may be penalised by the Stewards.
- 46.7. All horses that are qualifying must complete the full distance of the trial satisfactorily and meet the following Qualifying Time Standard at the particular track and distance.

**TRIALS - MINIMUM QUALIFYING TIME STANDARDS**

<b>Tasmanian Trotting Club</b>	<b>Mile Rate</b>
OPEN	2.06
3YO	2.07
2YO (up to 31/12)	2.10
2YO (from 01/01)	2.08

<b>Launceston Pacing Club</b>	<b>Mile Rate</b>
OPEN	2.06
3YO	2.07
2YO (up to 31/12)	2.10
2YO (from 01/01)	2.08

<b>Carrick Park Pacing Club</b>	<b>Mile Rate</b>
OPEN	2.07
3YO	2.08
2YO (up to 31/12)	2.12
2YO (from 01/01)	2.10

<b>Devonport Harness Racing Club</b>	<b>Mile Rate</b>
OPEN	2.07
3YO	2.08
2YO (up to 31/12)	2.12
2YO (from 01/01)	2.10

<b>Burnie Harness Racing Club</b>	<b>Mile Rate</b>
OPEN	2.10
3YO	2.11
2YO (up to 31/12)	2.14
2YO (from 01/01)	2.12

46.8. Some matters taken into consideration in assessing the qualifying of a horse will be its manners, competitiveness, time of the trial, opposition (if above rating), track conditions, the way the trial was run and any other matters that the Steward in charge deems fit.

46.9. In order to qualify, a horse must complete a full trial distance in a satisfactory manner including tractability and time standard.

46.10. OD horses must always start from the front line if trialling to have their barrier endorsement sheet signed by the Stewards.

46.11. Where possible a horse which is endeavouring to qualify will have a horse drawn each side of it at the start.

46.12. A minimum of three horses are required in a trial run for qualifying purposes except with the permission of the Steward-in-Charge.

46.13. No horse shall trial or qualify twice on any one day.

46.14. It is the responsibility of the trainer to ensure that if their horse is qualifying or there is any bar or qualification on the horse, they must advise the Steward before the trial and then check with the Steward after the trial as to whether the horse has been satisfactory. Simply letting the horse trial and not checking with the Steward after the trial may cause the trainer to miss out on a start if they have not fulfilled the obligation of advising the Steward as set out.

46.15. The papers of horses to qualify must be presented by the trainer to the Trial Steward marked and signed accordingly.

46.16. **Qualifying Trials for Unraced Horses**

The following is required for unraced horses to qualify for mobile or standing starts.

46.16.1. Prior to being nominated for a race in a particular type of start (mobile or standing), an unraced horse must trial in each of the types to the satisfaction of stewards and meet the Qualifying Time Standards as shown above.

46.16.2. To satisfy this requirement, the unraced horse should be nominated to compete in a qualifying trial. Failure to nominate for a qualifying trial will see the horse ineligible to qualify.

46.16.3. The horses racing assessment certificate must be presented to the officiating steward on each occasion.

46.16.4. No horses shall trial or qualify twice on any one day.

EG Horse (A) 01.11.2009 – Satisfactory Mobile start trial (MS)  
 Horse (A) 10.11.2009 – Satisfactory Standing start trial (SS)  
 Horse (A) Eligible to race in Mobile and Standing Start races.  
 Horse (B) 01.11.2009 – Satisfactory Mobile start trial (MS)  
 Horse (B) Eligible to race in Mobile start races only  
 (Horse (B) still needs to trial to qualify in Standing starts)

46.16.5. Where education trial are programmed:

- At club trials, a horse which has taken part in an education trial can subsequently trial on the same day but is not eligible to be assessed for qualifying purposes.
- At trials conducted on race days, a horse participating in an education trial cannot participate in a subsequent trial on that day.

46.17. All interstate qualifications will be recognised if the horse is engaged to race in Tasmania.

#### 47. TRAINING PRIOR TO DAYLIGHT

- 47.1. Any licensee who is to conduct training prior to 'official daylight' (which means the hours between sunrise and sunset as declared by the Tasmanian Bureau of Meteorology) must inspect the track before training.
- 47.2. A red flashing light shall be displayed at the rear of the sulky and a green constant light as approved by the Stewards shall be displayed on the front of the horse when training prior to 'official daylight'.
- 47.3. Only jog work shall be conducted prior to 'official daylight'.
- 47.4. Training prior to 'official daylight' shall only be conducted anti-clockwise.
- 47.5. All licensees, when training prior to 'official daylight', shall wear a safety hatband as approved by the Stewards and a high visibility vest.
- 47.6. Cateye dual-mode light set and TrakSafe hatband have been approved by the Stewards for training prior to 'official daylight'.

#### 48. TCO2 MEASUREMENTS

- 48.1. Following a comprehensive study taken of a review by the Australian Racing Laboratories, Harness Racing Australia (HRA), after a considerable deliberation process, has now accepted and endorsed that the measurement of uncertainty (MU) in TCO2 be reduced from +/- 1.2mmol/L to +/- 1.0mmol/L in plasma.
- 48.2. The Office of Racing Integrity has adopted the HRA recommendation and as such, the measurement of uncertainty in the official analytical methods for testing of Total Plasma Carbon Dioxide (TCO2) in Tasmania will be reduced from +/- 1.2mmol/L to +/- 1.0mmol/L, commencing 1 September 2007.
- 48.3. The threshold remains at 36.0 millimoles per litre in plasma. This now means that any TCO2 reading above 37.0 mmol/L is in breach of the Rules of Harness Racing.

#### 49. TCO2 READINGS

- 49.1. The Office of Racing Integrity has determined not to disclose TCO2 measurements to participants.
- 49.2. The Office of Racing Integrity staff will not provide participants with the TCO2 level returned by a horse following a swab.
- 49.3. The trainer of a horse which has recorded a TCO2 reading in excess of 35.0 mmol/Ls in plasma is directed that for the next 28 days following notification to the trainer the following will apply. At the Stewards' discretion either or both:
  - 49.3.1. Have that horse on course a minimum of two (2) hours prior to the scheduled starting time of its raced. Should the trainer fail to adhere to the Stewards' direction the Stewards may scratch the horse and the trainer may be subject to a charge under the provisions of AHRR 238.
  - 49.3.2. Have that horse remain on course for a minimum of two and a half hours following its race to enable blood samples to be taken for TCO2 testing.



- 49.4. When a horse returns a TCO2 result over 35.0 mmol/Ls in plasma the trainer will be notified that that horse is subject to the above Stewards' direction.
- 49.5. From 1 September 2020, the Office of Racing Integrity will publicise the following details of TCO2 measurements of 35.0 mmol/Ls and above –
- Horse name;
  - Trainer's name; and
  - Date of meeting.
- This information will remain on ORI's website for a period of up to 28 days from the race meeting date.
- 49.6. If a horse changes trainer within the 28-day period, the Stewards may vary the requirements on application by the new trainer.

## 50. WARM WEATHER

- 50.1. On a race day where the forecast maximum temperature for the race meeting venue or location where the horse is stabled is determined by the Bureau of Meteorology to exceed thirty-eight degrees Celsius (38°C), the trainer of a horse engaged at that meeting may:
- 50.1.1. Prior to 8.00 am on the day of the meeting scratch the horse free of penalty; scratchings effected after 8.00 am on race day will attract the normal late scratching penalty. Such applications may only be made on the day of the race.

## 51. CLEARANCE SAMPLES

- 51.1. A clearance sample for testings must be provided by any person following the return of a positive sample.
- 51.2. Permission to compete in official trials or races will not be granted to persons until the sample result has been returned free of any prohibited substances and the relevant invoice paid in full.
- 51.3. Stewards may require a clearance sample from a horse prior to it competing in official trials or races following the return of a positive sample.

## 52. VETERINARY CERTIFICATES

- 52.1. If required, veterinary certificates must have been lodged with Stewards 24 hours prior to trialling. Failure to do so may result in a nomination being deemed ineligible.
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**Implementation and Amendments Dates prior to August 2020**

<b>Policy</b>	<b>Implemented</b>	<b>Amended</b>
Rule 88 Offences and Related Matters	1 May 2013	14 February 2014
Rule 91 Offences	1 May 2013	
Rule 129 Procedure with respect to Mobile Barrier Malfunction	1 April 2011	
Rule 134 Outside Draw (Standing Starts)	1 May 2013	
Rule 134 Outside Draw (Omission and Re-inclusion in the draw)	1 May 2013	
Rule 134(1) Outside Draw (Standing)	16 November 2014	16 November 2014
Rule 134(4) Outside Draw (Mobile)	16 November 2014	16 November 2014
Rule 134 (1) & (4) Re-inclusion in the Draw	16 November 2014	16 November 2014
Rule 141 False Start (False/Aborted Mobile Starts)	1 May 2013	
Rule 159A Offences – Relating to Dress	1 July 2005	
Rule 162(1)(y) Offences – Relating to matters at the start and during the race – Clause 1	1 May 2013	
Rule 162(1)(y) Offences – Relating to matters at the start and during the race – Clause 3	1 May 2013	10 September 2015
Rule 162(1)(y) Offences – Relating to matters at the start and during the race – Clause 7	1 February 2009	
Rule 238 Requirement to notify multiple runner tactics	30 May 2018	
Rule 253 Illegal Communications	1 May 2013	
Rule 259 Restrictions (Nomination in a Tasmanian Race by an Interstate Trainer)	1 May 2013	
Priority of Drives Policy	30 May 2008	
Qualifying Trials for Unraced Horses	1 November 2009	
Race Fall Procedure	14 February 2014	
Requirements for Qualifying A Horse		18 June 2014
TCO2 Measurements	1 September 2007	
TCO2 Readings	1 July 2008	
Visiting B Grade Trainers	1 September 2006	
Warm Weather	14 February 2014	

**Revision History**

<b>Date</b>	<b>Version</b>	<b>Changes</b>
5 August 2020	1	Policies and Procedures document reviewed by Stewards in March 2020. Document updated to reflect current standards and requirements. Published August 2020 All policies are effective immediately.
1 September 2020	2	Addition of Clause 1.2 – Race & trial restriction – last chance to race competitively. Remove Clause 23.5 – ODM & ODS horses permitted in trials where nominations have closed Amendment to Clause 49 – TCO2 readings Addition of Clause 49.3 – publication of high TCO2 readings
24 September 2020	3	Numbering errors corrected throughout document. Clause 13 – Location of Horse – wording amended Clauses 46.11 and 46.12 deleted Clause 46.13 renumbered to 46.9 and amended Clause 46.21 added – Educational Trials Trials – Minimum Qualifying Time Standards – Table updated and amended
10 November 2020	4	Clause 46.7 – Qualifying Time Standard – Carrick Park Pacing Club
13 November 2020	5	Clause 46.9 – Amendment to trial requirement if horse has raced previous day
8 April 2021	6	Clause 28.1 - Inclusion of requirements for carrying a whip
24 August 2021	7	Clause 30.3 – Remove references to 3 year old prior to 1 December Update Minimum Qualifying Time Standards table Clause 49 – TCO2 Readings – Add and renumber from 49.3 onwards